

1C849 U.S. PTO
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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. GJE-21D2

First Inventor or Application Identifier John Sinden

Title Neural Transplantation Using Pluripotent Cells

Express Mail Label No. EK507535423US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | | |
|---|---|--|
| 1. <input checked="" type="checkbox"/> | * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing) | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> | Specification [Total Pages 38] | 6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| | - Descriptive title of the Invention | a. <input type="checkbox"/> Computer Readable Copy |
| | - Cross References to Related Applications | b. <input type="checkbox"/> Paper Copy (identical to computer copy) |
| | - Statement Regarding Fed sponsored R & D | c. <input type="checkbox"/> Statement verifying identity of above copies |
| | - Reference to Microfiche Appendix | |
| | - Background of the Invention | |
| | - Brief Summary of the Invention | |
| | - Brief Description of the Drawings (if filed) | |
| | - Detailed Description | |
| | - Claim(s) | |
| | - Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C. 113) [Total Sheets 20] | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. Oath or Declaration | [Total Pages 3] | 8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney |
| a. <input type="checkbox"/> | Newly executed (original or copy) | 9. <input type="checkbox"/> English Translation Document (if applicable) |
| b. <input checked="" type="checkbox"/> | Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed) | 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations |
| i. <input type="checkbox"/> | <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 11. <input checked="" type="checkbox"/> Preliminary Amendment |
| NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). | | |
| 12. <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) | 13. <input type="checkbox"/> Small Entity Statement(s) <input checked="" type="checkbox"/> Statement filed in prior application, (PTO/SB/09-12) <input type="checkbox"/> Status still proper and desired |
| 14. <input type="checkbox"/> | Certified Copy of Priority Document(s)
(if foreign priority is claimed) | 15. <input type="checkbox"/> Other: |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: 09,672,606

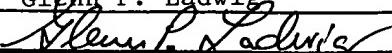
Prior application information: Examiner _____ Group / Art Unit: 1633

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Name (Print/Type)	Glen P. Ladwig	Registration No. (Attorney/Agent)	46,853
Signature		Date	January 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
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Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): John Sinden, Jeffrey A. Gray, Helen Hodges, Timothy Kershaw, Fiza Rashid-Doubell

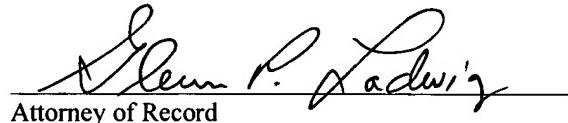
Entitled: Neural Transplantation Using Pluripotent Neuroepithelial Cells

- A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.
- The filing fee is calculated below:

CLAIMS AS FILED				
	Number filed	Number Extra	Rate	Fee
Basic Fee				\$ 355.00
Total Claims	56 - 20 =	36	x \$09	324.00
Independent Claims	13 - 3 =	10	x \$40	400.00
Presentation of Multiple Dependent Claim(s) (\$165)				0
			Total Filing Fee	\$ 1079.00

- Please charge \$ 1079.00 to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.
- This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

Date January 12, 2001



Attorney of Record

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No. EK507535423US Date of Deposit: January 12, 2001
 I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

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